



POLICIES

Effective Date: July 1, 2011

Retired:

Revised:

Approved by: WAYNE J. RILEY, M.D., MBA, MACP, PRESIDENT AND CEO

Subject: Office of Research - Policy and Procedures for Responding to Allegations of Research Misconduct

PURPOSE:

This statement of policy and procedures carries out this institution's responsibilities under the Public Health Service (PHS) Policies on Research Misconduct, 42 CFR Part 93.

POLICY STATEMENT:

This policy applies to allegations of research misconduct (fabrication, falsification, or plagiarism in proposing, performing, or reviewing research, or in reporting research results) involving a person who, at the time of the alleged research misconduct, was employed by, was an agent or student of, or was affiliated by contract or agreement with this institution; and involved:

- (1) biomedical or behavioral research, research training or activities related to that research or research training, such as the operation of tissue and data banks, core research facilities, and the dissemination of research information,
- (2) applications or proposals for support for biomedical or behavioral research, research training or activities related to that research or research training, or
- (3) plagiarism of research records produced in the course of research, research training or activities related to that research or research training.

This includes any research proposed, performed, reviewed, or reported, or any research record generated from that research, regardless of whether an application or proposal for funds resulted in a grant, contract, cooperative agreement, subcontract or other form of support.

This statement of policy and procedures does not apply to authorship or collaboration disputes and applies only to allegations of research misconduct that occurred within six years of the date the institution or research sponsor received the allegation, subject to the subsequent use, health or safety of the public, and grandfather exceptions in 42 CFR § 93.105(b).